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**PATENT**  
Attorney Docket No. 3040

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

MALVAR

Group Art Unit: 2614

Serial No. 09/955,577

Examiner: Unassigned

Filed: September 18, 2001

For: Improved Block Transform and  
Quantization for Image and Video  
Coding

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Applicant hereby responds to the NOTICE TO FILE MISSING PARTS OF APPLICATION dated November 7, 2001. This application is on behalf of a large entity, and pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is \$130.00.

Submitted with the response and surcharge is a filing fee in the amount of \$740.00, additional claim fees in the amount of \$36.00, an Executed Declaration of inventors, a copy of the Notice to File Missing Parts of Application and a Credit Card Payment form.

The total fee due is:

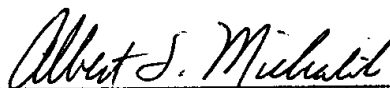
|                       |                 |
|-----------------------|-----------------|
| Basic Filing Fee      | \$740.00        |
| Additional Claim Fees | \$36.00         |
| Surcharge             | <u>\$130.00</u> |

Total Fee Due: \$906.00

In re Application of MALVAR  
Serial No. 09/955,577

- ☒ The Patent Office is hereby authorized to charge the credit card number on the attached Credit Card Payment form the sum of \$906.00.
- ☒ The Patent Office is hereby authorized to charge any underpayments or credit any overpayments associated with this submission to Deposit Account No. 50-1618.

Respectfully submitted,



Albert S. Michalik, Reg. No. 37,395  
Michalik & Wylie, PLLC  
14645 Bel-Red Road  
Suite # 103  
Bellevue, WA 98007  
(425) 653-3533 (telephone)  
(425) 653-3603 (facsimile)

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CERTIFICATE OF MAILING

I hereby certify that this response, credit card payment form, executed declaration of inventors, copy of the Notice to File Missing Parts and return postcard are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: Dec. 14, 2001

  
Albert S. Michalik

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/955,577         | 09/18/2001          | Henrique S. Malvar    | 3040                   |

CONFIRMATION NO. 8100

## FORMALITIES LETTER



\*OC000000007035005\*

MICHALIK & WYLIE PLLC  
Suite 103  
14645 Bel-Red Road  
Bellevue, WA 98007

Date Mailed: 11/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$36.  
▪ \$36 for 2 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 876.

*A copy of this notice MUST be returned with the reply.*

*M*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/17/2002 TLWJ11 00000011 09955577  
01 FC:101  
02 FC:103  
03 FC:105  
740.00 OP  
36.00 OP  
130.00 OP